

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Martin Schroder et al.
 Serial No. : 09/955,317 Group Art Unit: 2863
 Filed : September 17, 2001 Examiner: Bui, Bryan
 For : SPEED-DEPENDENT SETPOINT CORRECTION IN
 ELECTRICALLY REGULATED SLAVE DRIVES

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

December 17, 2003

Date of Deposit

Bradley B. Geist

Attorney Name

Signature

27,551

PTO Reg. No.

December 17, 2003

Date of Signature

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 C.F.R. §§ 1.97 and 1.98, submitted herewith are a PTO Form 1449 identifying documents disclosing prior art that may be material to the patentability of the invention claimed in the above-identified application and copies of the documents identified in the Form 1449. The documents were mentioned in the procedure of

NY02:469161.1

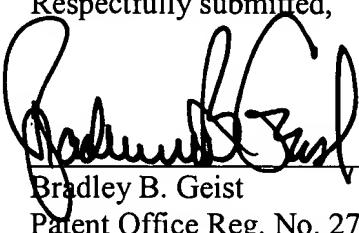
12/31/2003 MDATE1 00000028 09955317
 01 FC:1806 180.00 OP

PATENT

the German Patent Office. English-language translations of the articles are not readily available. However, abstracts are attached to each reference.

Identification of the listed references in the PTO 1449 is not to be construed as an admission of the Applicant(s) or the attorneys of the Applicant(s) that such references are available as "prior art" against the subject application.

This statement is being filed under §1.97(c), with a fee of \$180, prior to either a final action or a notice of allowance. The Commissioner is hereby authorized to charge the payment of any additional fees required in association with this communication to Deposit Account No. 02-4377. A check for the fee and a duplicate copy of this statement is enclosed.

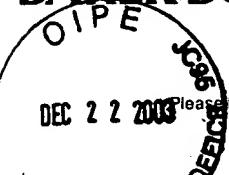
Respectfully submitted,

Bradley B. Geist
Patent Office Reg. No. 27,551

Attorneys for Applicants
(212) 408-2562

Enclosure

NY02:469161.1

41
BAKER BOTTS LLP



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TC 1700

2863
15

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

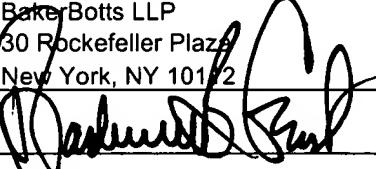
Total Number of Pages in This Submission

Application Number	09/955,317
Filing Date	September 17, 2001
First Named Inventor	Martin Schroder et al.
Group Art Unit	2863
Examiner Name	Bui, Bryan
Total Number of Pages in This Submission	Attorney Docket Number A34493

ENCLOSURES (check all that apply)

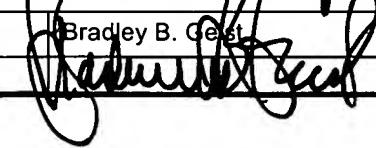
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): PTO 1449 w/copy of references
Remarks <input type="checkbox"/>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	BakerBotts LLP 30 Rockefeller Plaza New York, NY 10162
Signature	 Bradley B. Geist
Date	December 17, 2003
Att Name:	Bradley B. Geist
PTO Reg:	27,551

CERTIFICATE OF MAILING

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Typed or printed name	Bradley B. Geist
Signature	 Bradley B. Geist
Date	December 17, 2003

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TC 1700

FEE TRANSMITTAL for FY 2003

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT **(*\$*) 180**

Complete if Known

Application Number	09/955,317
Filing Date	September 17, 2001
First Named Inventor	Martin Schroder et al.
Examiner Name	Bui, Bryan
Art Unit	2863
Attorney Docket No.	A34493

METHOD OF PAYMENT (check all that apply)

Check Credit card Money Order Other None

Deposit Account:

Deposit Account Number
Deposit Account Name

02-4377

Baker Botts LLP

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee required under 37CFR 1.16 and 1.17
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1)		(<i>\$</i>) 0	

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid
			- 20 =	0	0
			- 3 =	0	0

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		(<i>\$</i>) 0

**or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,300	2453 650	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 630	2503 315	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	180
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify) _____

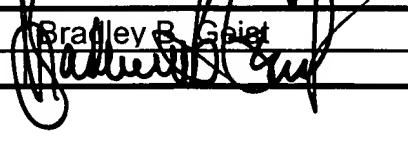
*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) **(*\$*) 180**

(Complete if applicable)

SUBMITTED BY

Name (Print/Type) Bradley B. Geist Registration No. (Attorney/Agent) 27,551 Telephone 212-408-2500

Signature  Date December 17, 2003

BAKER BOTTS LLP

Attorney Docket Number: A34493

Title: SPEED-DEPENDENT SETPOINT CORRECTION IN ELECTRICALLY REGULATED SLAVE
DRIVES



Use Space Below for Additional Information:

<p>Form PTO-1449 U.S. Department of Commerce (REV. 2-82) Patent and Trademark Office</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p>DEC 27 2003 (Use several sheets if necessary)</p> <p style="text-align: center;">PATENT OFFICE</p>	Atty. Docket No. A34493	Serial No. 09/955,317
	Applicant Schroder et al.	
	Filing Date 9-17-2001	Group 2121

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENT

	Document No.								Date	Country	Class	SubClass	<u>Translator</u>	
	1	9	8	5	2	4	3	6	4-20-1999	Germany (w/abstract)			Yes	No
	1	9	7	2	7	8	2	4	11-19-1998	Germany (w/abstract)				
	4	3	2	2	7	4	4		3-11-1997	Germany (w/abstract)				
	4	0	2	1	3	3	0		10-24-1996	Germany (w/abstract)				
	4	4	2	0	5	9	8		12-14-1995	Germany (w/abstract)				
	3	6	3	8	6	9	8		5-19-1988	Germany (w/abstract)				
	3	3	0	4	6	4	4		8-16-1984	Germany (w/abstract)				

OTHER DOCUMENTS (including Author, Title Date, Pertinent Pages, Etc.)

"Digitale Antriebe und SERCOS Interface" by Werner Phillip., In: Antriebstechnik 31 (1992), No. 12, pages 30-38;

NY02:469168.1

Examiner

Date Considered

* Examiner: Initial citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449 U.S. Department of Commerce (REV. 2-82) Patent and Trademark Office		Atty. Docket No. A34493	Serial No. 09/955,317
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use several sheets if necessary)</i>		Applicant Schroder et al.	
		Filing Date 9-17-2001	Group 2121
<p>"Praxis der Fedorientiert Geregelten..." by Nguyen Phung Quang;; pages 126-127</p> <hr/> <hr/> <hr/> <hr/>			

NY02:469168.1

Examiner	Date Considered
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* Examiner: Initial citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.